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# NOTICE OF ALLOWANCE AND FEE(S) DUE

32583

7590

02/01/2008

KELLOGG BROWN & ROOT LLC

ATTN: Christian Heausler 4100 Clinton Drive HOUSTON, TX 77020 EXAMINER BOYER, RANDY

ART UNIT

PAPER NUMBER

1797

DATE MAILED: 02/01/2008

| 1 | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| ı | 10/711,176      | 08/30/2004  | Rashid Iqbal         | 04-09               | 5175             |

TITLE OF INVENTION: HEAVY OIL AND BITUMEN UPGRADING

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$300               | \$0                  | \$1740           | 05/01/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above
- B. If the status above is to be removed, check box 5b on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
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|  | 7590 02/01/  |  |  | Cer   | tificate                                 | of Mailing or Transn   | nission   |
| KELLOGG BR<br>ATTN: Christian<br>4100 Clinton Dri  |  | LLC  | I he<br>Stat<br>addi<br>tran   | reby certify that thes Postal Service were to the Mail smitted to the USP | is Fee(s<br>vith suff<br>Stop<br>TO (57) | e) Transmittal is being<br>ficient postage for first<br>SSUE FEE address (1)<br>1) 273-2885, on the da | deposited with the United class mail in an envelope above, or being facsimile te indicated below.   |
| HOUSTON, TX  |  |  |  |   |  | ·  | (Depositor's name)  |
|  |  |  | -  |   |  |  | (Signature)   |
|  |  |  |  |   |  |  | (Date)  |
| APPLICATION NO.  | FILING DATE  | <del></del>  | FIRST NAMED INVENTOR   |   | ATTO                                     | RNEY DOCKET NO.  | CONFIRMATION NO.  |
|  | 08/30/2004   |  | Rashid Iqbal   |   |  | 04-09  | 5175  |
| 10/711,176<br>FITLE OF INVENTION:  |  | TUMEN UPGRADING  | Aubing 140m  |   |  |  | •   |
| ITTEE OF INVENTION   |  | -  |  |   |  |  |   |
| •  |  |  |  |   |  |  |   |
| APPLN, TYPE  | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSU   | E FEE                                    | TOTAL FEE(S) DUE   | DATE DUE  |
| nonprovisional   | NO   | \$1440   | \$300  | \$0   |  | \$1740   | 05/01/2008  |
| EXAM   | INER   | ART UNIT   | CLASS-SUBCLASS   | · ·   |  |  | •   |
| BOYER,   | RANDY  | 1797   | 208-113000   |   |  |  |   |
| 1. Change of corresponde   | ence address or indication   | n of "Fee Address" (37   | 2. For printing on the p   |   |  | , 1  |   |
| CFR 1.363)   | ondence address (or Cha  | nge of Correspondence  | (1) the names of up to<br>or agents OR, alternati  | o 3 registered pater vely,  | nt attorn                                | ieys '   |   |
|  | ondence address (or Cha<br>3/122) attached.                        |  | (2) the name of a sing   | le firm (having as a  | memb                                     | era 2<br>p to  |   |
| PTO/SB/47; Rev 03-0<br>Number is required.   |  | ed. Use of a Customer  | 2 registered patent attor<br>listed, no name will be   | printed.  | no nam                                   | e is 3   |   |
| 3. ASSIGNEE NAME A   | ND RESIDENCE DATA  | A TO BE PRINTED ON   | THE PATENT (print or ty  | pe)   |  |  | the bear filed for  |
| PLEASE NOTE: Unl   | ess an assignee is ident<br>h in 37 CFR 3.11. Comp                 | ified below, no assignee pletion of this form is NO                              | data will appear on the p<br>T a substitute for filing an  | atent. If an assigr<br>assignment.  | 1ee 18 10                                | ientified below, the do  | ocument has been filed for  |
| (A) NAME OF ASSIG  |  |  | (B) RESIDENCE: (CITY   |   |  |  |   |
|  |  |  |  |   | ,  |  |   |
| Please check the appropr   | iate assignee category or  | categories (will not be p  | rinted on the patent):   | Individual C  | orporat                                  | ion or other private gro   | up entity Government  |
| 4a. The following fee(s):  | are submitted:   | 4  | b. Payment of Fee(s): (Ple   | ase first reapply a   | ny prev                                  | viously paid issue fee   | shown above)  |
| ☐ Issue Fee  |  |  | A check is enclosed.   |   |  |  | •   |
|  | lo small entity discount   |  | Payment by credit ca The Director is hereb   | rd. Form PTO-203<br>v authorized to cha                                   | 8 is atta                                | required fee(s), any de  | ficiency, or credit any   |
| ☐ Advance Order - i  | # of Copies  | · · · · · · · · · · · · · · · · · · ·  | overpayment, to Dep  | osit Account Numb   | er                                       | (enclose a   | n extra copy of this form).   |
| 5. Change in Entity Sta  |  |  | b. Applicant is no lor   | 1 ' ' CN 4 A  | II ENE                                   | TITY status Soc 27 Cl  | CP 1 27(α)(2)   |
| ☐ a. Applicant claim   | s SMALL ENTITY state   | us. Sec 37 CFR 1.27.   | b. Applicant is no lot   | the applicant: a rea  | istered                                  | attorney or agent: or th   | e assignce or other party in  |
| interest as shown by the   | records of the United Sta  | ates Patent and Trademark  | k Office.  | the apprount, a reg   |  |  |   |
| Authorized Signature   |  |  |  | Date  | -  |  |   |
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| an application. Confiden<br>submitting the complete<br>this form and/or suggest<br>Box 1450, Alexandria, V   | dapplication form to the tone for reducing this but in 22313-1450. | o USPTO. Time will var<br>irden, should be sent to to<br>NOT SEND FEES OR        | ion is required to obtain or 1.14. This collection is esy depending upon the indice the Chief Information Offic COMPLETED FORMS 1 espond to a collection of in | vidual case. Any cor, U.S. Patent and OTHIS ADDRES                        | ommen<br>I Trader<br>S. SEN              | ts on the amount of the<br>mark Office, U.S. Dep<br>D TO: Commissioner                                 | by the USPTO to process) g gathering, preparing, and myou require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450, number. |



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| . 22592  | 7590 02/01/2008 | 02/01/2008 |                      | EXAM                            | EXAMINER         |  |  |
| KELLOGG BROWN & ROOT LLC ATTN: Christian Heausler 4100 Clinton Drive HOUSTON, TX 77020 |                 |            |                      | BOYER, RANDY                    |                  |  |  |
|  |                 |            |                      | ART UNIT                        | PAPER NUMBER     |  |  |
|  |                 |            |                      | 1797<br>DATE MAILED: 02/01/2008 |                  |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 578 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 578 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.